

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Small et al.  
Title: Chemical-Mechanical Planarization Using Ozone  
Serial No.: Unknown Filed: Herewith  
Examiner: Le, Thao P. Group Art Unit: 2818 (in parent case)  
(in parent case)  
Docket No.: CHEM.010US1

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Enclosed are copies of Disclosure Statements, PTO-1449 forms, and PTO-892 forms, which were made of record in parent application:

Serial No. 09/783,069, filed February 13, 2001.

The above-listed application is relied upon by the instant application for an earlier filing date under 35 U.S.C. Section 120.

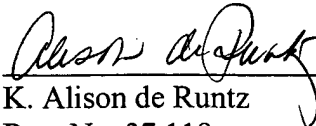
Each document listed on the various statements is incorporated herein for the Examiner's consideration. The making of each of them of record in the file of this application, is respectfully requested.

Since these references were previously filed or cited by the Examiner, in the parent patent application 09/783,069, no copies are included. Should copies be desired, the undersigned attorney would be glad to furnish them.

**EXPRESS MAIL LABEL  
NO:**

**EV 321705026 US**

Respectfully submitted,

  
K. Alison de Runtz

Reg. No. 37,119

02/19/04  
Date

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(415) 318-1160  
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**Notice of References Cited**

Application/Control No.

09/783,069

Applicant(s)/Patent Under  
Reexamination  
SMALL ET AL.

Examiner

Thao P Le

Art Unit

2818

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-5872043	02-1999	Chen	438/424
*	B	US-5976979	11-1999	Chen	438/691
*	C	US-6100198	08-2000	Grieger et al.	438/692
*	D	US-6124210	09-2000	Chino et al.	438/706
*	E	US-6001730	12-1999	Farkas et al.	438/627
	F	US-6110830	08-2000	Skrovan et al.	438/688
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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	Examiner Thao P Le	Art Unit 2818	Page 1 of 1

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